



SECTOR 8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
BEN SHEN *ET AL.*

Application No.: 09/477,962

Art Unit: 1643

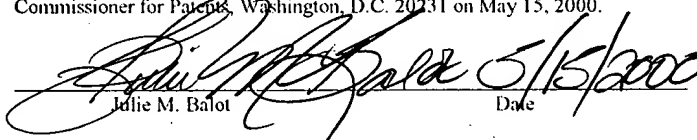
Filed: JANUARY 5, 2000

For: BLEOMYCIN GENE CLUSTER  
COMPONENTS AND THEIR USES

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on May 15, 2000.

  
Julie M. Balot Date 5/15/2000

TRANSMITTAL OF MISSING PARTS

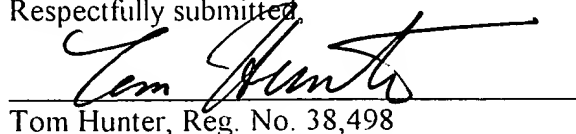
Sir:

Transmitted herewith are the missing parts of the above-identified application.

1. A Patent Application Declaration executed by Ben Shen, Liangcheng Du, Wen Liu, Mei Chen and Daniel J. Edwards.
2. A Patent Application Declaration executed by Cesar Sanchez.
3. Executed Assignments including a recordation cover sheet.
4. An executed Power of Attorney by Assignee and Exclusion of Inventors.
5. An executed Statement (Declaration) Claiming Small Entity Status.
6. A check in the amount of \$2,012.00 (\$345 - basic filing, \$675 - total claims (95-20=75), \$702 - 18 extra independent claims; \$130-multiple claims, \$65 - missing parts surcharge, \$40 - assignment recordation fee, and \$55 - one-month extension of time). The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment, to Account No. 13-1030. A duplicate copy of this sheet is enclosed.
7. Petition for Extension of Time (one month).
8. A copy of the Notice to File Missing Parts of Application (Part 2).

Dated: May 15, 2000.

Respectfully submitted,



Tom Hunter, Reg. No. 38,498  
MAJESTIC, PARSONS, SIEBERT & HSUE P.C.  
Four Embarcadero Center, Suite 1100  
San Francisco, California 94111-4106  
Telephone: (415) 248-5500

Atty. Docket: 2500.125US2



09/477,962 01/05/00 SHEN B 2500.125062

**BEST AVAILABLE COPY**

MAJESTIC PARSONS SIEBERT & HSUE P C  
FOUR EMBARCADERO CENTER  
SUITE 1100  
SAN FRANCISCO CA 94111-4106

**DATE MAILED:**

02/22/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). **If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.**

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 5,236.

- ☒ 1. The statutory basic filing fee is:

☒ missing.  
☐ insufficient.

Applicant must submit \$ 670 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. The following additional claims fees are due:

\$ 3330 for 185 total claims over 20.

\$ 1326 for 17 independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

***Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.***

- ☒ 3. The oath or declaration:

 is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

*An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.*

- ☐
6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.216)
- 

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.  
 If your check was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.52(d), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

***A copy of this notice MUST be returned with the reply.***

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02/2000	EHAMOND	00000116	09477562
C:201			1552
C:202			77
C:203			75
C:205			65
C:204			130